

Attorney Docket No.: CORR-003/01US **PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Ben A. HITT et al.

Serial No.: 10/628,135 Examiner: *Unassigned*

Confirmation No.: 4522 Art Unit: 1631

Filed: July 28, 2003

For: **QUALITY ASSURANCE FOR HIGH-THROUGHPUT BIOASSAY METHODS**

U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window, **Mail Stop Missing Parts**
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

[] Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.

[] No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.

[x] No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.

[] Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.

[] Enclosed is a copy of a non-English publication(s) ___. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).

[] Enclosed is a copy of a non-English publication(s) ___. English language publication ___ (copy enclosed) claims priority from this non-English publication.

[] Enclosed is an explanation of non-English publication(s) ___ for which an English translation is not available.

[] Enclosed is an English translation of non-English publication(s) ___cited in the attached Form PTO/SB/08A.

[x] Enclosed are copies of the following copending U.S. Patent Applications:

U.S. Patent Application Serial No. **09/883,196**, filed June 19, 2001, entitled "Heuristic Method of Classification" (Attorney Docket No. CORR-001/01US);

U.S. Patent Application Serial No. **09/906,661**, filed July 18, 2001, entitled "A Process for Discriminating Between Biological States Based on Hidden Patterns from Biological Data" (Attorney Docket No. CORR-002/04US);

U.S. Patent Application Serial No. **10/628,137**, filed July 28, 2003, entitled "Quality Assurance/Quality Control for High Throughput Bioassay Process" (Attorney Docket No. CORR-004/01US); and

U.S. Patent Application Serial No. **10/628,136**, filed July 28, 2003, entitled "Quality Assurance/Quality Control for Electrospray Ionization Processes" (Attorney Docket No. CORR-004/02US).

This Information Disclosure Statement is filed within any one of the following time periods:

[] within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);

[] within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;

[x] before the mailing date of a first office action on the merits; or

[] before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: 11/13/03

Respectfully submitted,
COOLEY GODWARD LLP

Cooley Godward LLP
ATTN: Patent Group
One Freedom Square
Reston Town Center
11951 Freedom Drive
Reston, VA 20190-5656
Tel: (703) 456-8000
Fax: (703) 456-8100

By: _____
Randy V. Sabett
Reg. No. 43,074

187333 v1/RE
40JP01!.DOC

Please type a plus sign (+) inside this box → [+]

OIPE
NOV 1 3 2003
PATENT & TRADEMARK OFFICE

PTO/SB/08A (08-00)

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

Complete if Known	
Application Number	10/628,135
Filing Date	July 28, 2003
First Named Inventor	Ben HITT
Group Art Unit	1631
Examiner Name	
Attorney Docket Number	CORR-003/01US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	U.S. Patent Document Number	Kind Code[2] (*if known*)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	1	2003/0134304	A1	van der Greef *et al.*	07-17-2003
	2	2002/0138208	A1	Paulse et al.	09-26-2002
	3	6,615,199	B1	Bowman-Amuah	09-02-2003
	4	6,081,797		Hitt	06-27-2000
	5	5,974,412		Hazlehurst *et al.*	10-26-1999
	6	5,649,030		Normile *et al.*	07-15-1997
	7	5,352,613		Tafas *et al.*	10-4-1994
	8	5,136,686		Koza	08-4-1992
	9	4,881,178		Holland *et al.*	11-14-1989
	10	4,697,242		Holland *et al.*	09-29-1987

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document Office[3]	Number[4]	Kind Code[5] (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T[6]
✓	11		WO 00/55628	A1	Oxford Glycosciences	09-21-2000	
✓	12		WO 99/58972	A1	Tsipouras, Petros	11-18-1999	
✓	13		WO 00/49410	A3	The Government Of the United States of America as represented by the Secretary of the Department of Health and Human Services	08-24-2000	
✓	14		WO 99/47925	A2	Oxford Glycosciences	09-23-1999	
✓	15		WO 99/41612		Oxford Glycosciences	08-19-1999	
✓	16		WO 93/05478	A1	Becton, Dickinson & Company	03-18-1993	

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] Unique citation designation number.
[2] See attached Kinds of U.S. Patent Documents.
[3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).
[4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.
[5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.
[6] Applicant is to place a check mark here if English language Translation is attached.